ORIGINAL ARTICLE

Innovative approach in assessing the children's immunization status when it cannot be documented

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Abstract. During surveys, it is recommended that children 1 2 immunization status should be based on immunization 3 documents. It has been noted that in some communities, a 4 number of children are claimed to be vaccinated but have no evidence of vaccination. This work is proposed to estimate 5 routine immunization coverage in children based on both 6 7 documented vaccination and the tracking of undocumented immunization. It was a community-based survey targeting 8 9 children aged 0-59 months in which the immunization status 10 of children was assessed based on vaccination documents 11 and based on a questionnaire tracking immunization sites 12 and period for children with undocumented vaccination. The vaccination coverage and completeness were estimated from 13 14 data collected in immunization cards and re-estimated after tracking the immunization status of children with no immu-15 nization cards. Of 1435 children reached in households, 1430 16 (99.7%) were included. Of 1072 children aged 12-59 months, 17 18 194 (18.1%) received DPT-Hi+Hb 3 with evidence and 399 19 (37.2%) with evidence and tracking. In the same age group, 20 the dropout rate from DPT-Hi+Hb 1 (157 doses administered) 21 to DPT-Hi+Hb 3 (127 doses administered) with evidence was 22 19.1% and 42.4% with evidence and tracking. The tracking 23 of immunization status in children with no evidence of

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vaccination allows to determine their immunization status24and to improve the reliability of the estimated vaccination25coverage. This strategy could be adopted to be part of the26planning and implementation of vaccination coverage27surveys of EPI vaccines.28

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Introduction

The Expanded Program on Immunization (EPI) offers every 32 child cohort, a chance to be protected against infectious 33 diseases that contribute to high infant mortality and morbidity. 34 The monitoring of each child's immunization status and vacci-35 nation coverage makes it possible to identify and catch up with 36 the gaps in order to limit the circulation of most of EPI prevent-37 able diseases (1). Conducting community-based surveys is a 38 39 common approach in accurately assessing children immunization coverage (1,2). During these surveys, the immunization 40 status of each child is determined from an immunization card 41 expected to be provided by the vaccination team during the 42 immunization session in which the child received vaccines (3). 43 For reasons that, to the best of our knowledge are still to be 44 assessed, many parents of vaccinated children are unable to 45 provide evidence of the child vaccination (4). Most of the time, 46 caregivers who cannot present any proof of vaccines declare 47 that the child received vaccines but are unable to remember 48 names of different vaccines administered during each child 49 contacts with vaccination teams (5). Routinely, vaccination 50 doses administered during immunization sessions are docu-51 mented only on tally sheets that give count number of vaccine 52 doses administered during the session but does not record 53 which vaccine type and dose administered to each child (6). 54 55 Thus, these sheets can't be used to assess the vaccination status of individual children in communities. Future studies 56 and interventions should be tested and identified to ensure 57 the documentation of each vaccine dose administered with a
 traceability for each child.

3 Given the actual lack of methos to ensure the fully docu-4 mentation of each vaccine dose regarding every child, we 5 suggested to test an innovative method of tracking vaccina-6 tion status of children from children guardians that failed 7 to provide evidence of vaccination. This was implemented 8 assuming that vaccination teams administer vaccines in the 9 good order and appropriate sites, that they are trained and 10 that children parents recall the approximate age and site to which a child was vaccinated. This was done during a survey 11 12 on immunization coverage conducted in the Foumban health 13 district (based in West Cameroon Health region) in July 2018.

15 Material and methods

17 Ethical considerations. This study involved collecting data from children immunization records and from parents or 18 guardians. Personal identification variables were not collected. 19 20 Caregivers were informed on study objectives and procedures 21 and their consent obtained prior to the children inclusion. The 22 study protocol was evaluated and approved by the Cameroon 23 National Ethics Committee for Human Health Research with 24 reference 2018/07/1058/CE/CNERSH/SP.

Study design. This was a community based two-stage cluster 26 survey conducted in July 2018 in Foumban health district 27 in which the immunization status of children was assessed 28 29 based on vaccination documents and based on a questionnaire 30 tracking immunization sites and period for children with 31 undocumented vaccination. The vaccination coverage and 32 completeness were estimated from data collected in immuni-33 zation cards and re-estimated after tracking the immunization status of children with no immunization cards. 34

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Setting and period. The study was conducted in Foumban 36 37 health district which is one of the 20 health districts of the West 38 region of Cameroon. Data were collected in selected house-39 holds of selected communities during the month of July 2018. 40 This is one of the health districts of the West region-Cameroon 41 characterized by high numbers of caregivers reporting vacci-42 nated children without proof of vaccination (unpublished 43 source).

45 Participants. Children aged 0-59 months born or living in 46 the selected households for at least the past seven days before the survey were eligible. The age of each child was asked 47 from consenting mother or the child guardian (caregivers) or 48 49 checked from any available vaccination document if the care-50 giver was unable to give the child's age. In case the age of a 51 child could not be provided from each of these sources, it was tracked based on local events. 52

Variable and data sources. After obtaining the permission of the head of the household, eligible children and their caregivers were identified. Consenting caregivers were administered a questionnaire to confirm the child age and collect data on the availability of child's immunization card or any related documentation. For children with immunization documents, data on the child's name, date of vaccination and age was collected using a grid. For children with no vaccination card, a ques-61 tionnaire was administered to the child's caregiver to assess 62 and record whether the child has ever been vaccinated or not, 63 the number of contacts of the child with vaccines and per 64 vaccination site. The tracking was done using key questions 65 such as: did the child receive an injection at the anterior site 66 of the left forearm? at the exterior site of the any thigh? at the 67 outer shoulder? For each time that a child was declared to have 68 been taken to vaccination, his age in month was requested and 69 recorded. For caregivers who could not respond to the ques-70 tionnaire, the modality 'I do not know' was recorded. 71

These variables were developed based on the vaccination 72 73 guidelines of the Expanded Program on Immunization on which the training of vaccination teams is based. According to 74 these guidelines each vaccine is meant to be administered at 75 a standard site (7). In the purpose of the study, the child who 76 had received a vaccine injection on the anterior site of the left 77 forearm was considered to have received vaccines of the first 78 contact, the one who had received it during one vaccination 79 visit at the thigh was recorded to have received the vaccines 80 of the second contact, the one who had received the vaccine 81 on the second visit on the thigh was recorded to have received 82 vaccines of the third contact, the one who declared to have 83 received vaccines on the thigh three times visit was considered 84 to have received vaccines of the fourth contact, the one who 85 declared to have received the vaccine fourth on the thigh was 86 recorded to have received vaccines of the fifth contact and 87 have been vaccinated at the out left shoulder was an argument 88 supporting that the child had received vaccines of the fifth 89 contact. 90

Data collection tools. Data collection tools were developed by92the research team and pretested in one of the district's health93area and validated before data collection. Data collection94tool was designed on ODK forms, data collected with smart-95phones in face to face by trained and supervised surveyors96and uploaded daily on a password-secured data base. GPS97coordinates of selected households was recorded.98

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Sample size estimate and sampling process. We planned to 100 enroll at least 504 children aged 0-59 months to estimate 101 the proportion of children immunized in this age group in 102 Foumban Health District. This was obtained assuming a 103 84.5% vaccination coverage (8), and planning in this study to 104 estimate immunization coverage with 95% confidence interval 105 and 5% precision; assuming a cluster design effect of 2 and a 106 80% response rate. 107

The estimated number of children was enrolled from 108 80 clusters of about 30 buildings each, expecting to have 109 eight children aged 12-59 months per cluster. These clusters 110 were proportionately assigned to 14 health areas (HA). This 111 selection covered 2/3 of urban, rural and transhumant health 112 areas. In each HA, clusters were randomly assigned to 113 quarters by systematic random sampling. Each quarter was 114 mapped using the 'my position' function of Google earth 115 smartphone application. The screen print image of the map 116 was divided in cluster of about 30 buildings. One of these 117 blocks was randomly selected and included to be visited 118 for data collection. Each building that had a roof, door and 119 window was visited as well as all HH (group of people 120 Table I. Coverage of clusters, buildings and households per health area

Health areas (HA)	Clusters coverage n (%)	Identified households n	Interviewed households n (%)	CI 95% (L-U)
Bafole	2 (100.0)	49	40 (81.6)	(68.0-91.2)
Foumban Nord	3 (100.0)	75	64 (85.3)	(75.3-92.4)
Foumban Ouest	7 (100.0)	195	157 (80.5)	(74.2-85.8)
Foumban Sud	10 (100.0)	246	219 (89.0)	(84.4-92.6)
Kouchankap	3 (100.0)	71	55 (77.5)	(66.0-86.5)
Kouffen	5 (100.0)	88	69 (78.4)	(68.3-86.5)
Koupa Kagnam	7 (100.0)	230	178 (77.4)	(71.4-82.6
Koupa matapit	9 (100.0)	203	170 (83.7)	(77.9-88.5)
Koutie	5 (100.0)	90	81 (90.0)	(81.9-95.3)
Makouetvu	3 (100.0)	46	42 (91.3)	(79.2-97.6)
Mancha	3 (100.0)	62	56 (90.3)	(80.1-96.4
Mataket	10 (100.0)	247	214 (86.6)	(81.5-90.6)
Matoumbain	3 (100.0)	91	61 (67.0)	(56.4-76.5
Njimom	10 (100.0)	214	179 (83.6)	(78.0-88.3
Total	80 (100.0)	1,907	1585 (83.1)	(81.4-84.8

Table I	I. Di	stribu	tion o	f c	hild	lren	per	age	group	and	sex.

7		Number o	Number of included			
;))		Male n (%)	Female n (%)	Total n (%)		
)	Aged 0-11	189 (52.8)	169 (47.2)	358 (25.0)		
	Aged 12-23	149 (50.7)	145 (49.3)	294 (20.6)		
ŀ	Aged 12-59	513 (47.9)	559 (52.1)	1072 (75.0)		
) -	Total (Aged 0-59)	702 (49.1)	728 (50.9)	1,430 (100.0)		

Table III. Mean number of children per cluster, household and age group.

n	Mean number per cluster	Mean number per Household
358	4.48	0.23
294	3.68	0.19
778	9.73	0.50
1,430	17.88	0.92
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living under the same roof for at least one week, under the 52 53 authority of a head and usually sharing the same meal) in 54 the building. Each household with at least one child under 55 five was included and questions administered on the immunization status of all children aged 0-59 who had been living 56 57 in the household for at least a week. Closed households or 58 those with no available respondents were revisited twice and 59 only those that remained closed or with no respondent were 60 excluded.

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Data analysis. The transmission, quality and completeness 85 of collected data were monitored daily. These data were 86 downloaded on Microsoft Excel 2013 worksheet, cleaned 87 and imported in Epi info7.2.2.6 software for analysis. BCG, 88 DPT-Hi+Hb dose 3 and Measles/Mumps-Rubella (MR) vacci-89 nation coverages were estimated among children aged 0-59 90 91 from data collected using immunization card and tracking. The coverage was estimated with as numerator, the number of 92 children with evidence of immunization and re-estimated with 93 as numerator, the number of children vaccinated with evidence 94 plus the number of children declared to have been vaccinated 95 from the tracking. For these two estimates, the denominator 96 was all included participants aged 0-59 months. General vacci-97 nation dropout rate (BCG-MR) was assessed by estimating 98 the proportion of children not vaccinated with MR among 99 those that received BCG. Specific vaccination dropout rate 100 (DPT-Hi+Hb 1 to DPT-Hi+Hb 3) was assessed by estimating 101 the proportion of children not vaccinated with DPT-Hi+Hb 3 102 among those that were vaccinated with DPT-Hi+Hb 1. The 103 numerator of the dropout rate among children vaccinated 104 with evidence was the number of children with no evidence 105 of vaccination. The numerator of the dropout rate among all 106 children vaccinated including those with evidence and those 107 vaccinated from tracking will be the number of neither vacci- 108 nated with evidence nor from tracking. 109 110

Results

Coverage of clusters, buildings and households. Of 1907 113 identified households, 1549 (83.1%) were included. Other 114 households were excluded for the following reasons; 322 115 (16.9%) households were closed even after 03 visits of the 116 surveyors and 36 (2.8%) refused to participate. From the 1549 117 surveyed households, 687 (44.3%) households had no child 118 aged between 0-59 months. Table I presents the distribution of 119 the targeted clusters and coverage of households interviewed 120

						Age group	Age group in months					
	0-0	0-2 N=99	06-1	06-11 N=179	0-1	0-11 N=358	12-2	12-23 N=294	12-5	12-59 N=1072	0-59	0-59 N=1430
Antigens	n (%)	95% CI	n (%)	95% CI								
BCG with	51	(41.2-61.4)	84	(39.3-53.8)	174	(43.3-53.7)	84	(23.4-33.9)	242	(20.2-25.0)	416	(26.9-31.5)
evidence BCG (evidence +	(5.1.c) 63 (63.6)	(53.4-73.1)	(40.9) 158 (88.3)	(82.6-92.6)	(40.0) 287 (80.2)	(75.7-84.0)	(20.0) 244 (83.0)	(78.0-87.1)	(22.0) 872 (81.3)	(78.9-83.6)	(1.7) (81.0)	(78.9-83.0)
tracking) DPT-Hi+Hb (DPT-Hi+Hb) 1 with	26 (26.3)	(18.3-35.6)	81 (45.3)	(37.4-51.9)	143 (39 <i>.</i> 9)	(34.7-45.0)	78 (26.5)	(21.6-31.6)	235 (21.9)	(19.6-24.2)	378 (26.4)	(24.2-28.6)
evidence DPT-Hi+ Hb 1 (evidence +	29 (29.3)	(20.6-39.3)	149 (83.2)	(76.9-88.4)	236 (65.9)	(60.9-70.6)	201 (68.4)	(62.7-73.6)	693 (64.6)	(61.7-67.4)	929 (65.0)	(62.5-67.4)
tracking) DPT-Hi+Hb 3 with	0 (0.0)	0	67 (37.4)	(30.1-44.1)	85 (23.7)	(19.1-28.6)	67 (22.8)	(18.1-27.6)	194 (18.1)	(15.7-20.4)	279 (19.5)	(17.4-21.6)
evidence DPT-Hi+Hb 3 coverage (evidence +	0(0.0)	0	92 (51.4)	(43.8-58.9)	113 (31.6)	(27.0-36.5)	119 (40.5)	(34.8-46.3)	399 (37.2)	(34.4-40.1)	512 (35.8)	(33.4-38.3)
tracking) RR (Measles and Rubella vaccine) with	0 (0.0)	0	12 (6.7)	(3.3-10.8)	12 (3.4)	(1.6-5.4)	42 (14.3)	(10.3-18.1)	148 (13.8)	(11.8-15.9)	160 (11.2)	(9.5-12.9)
evidence RR (Measles and Rubella vaccine) (evidence + tracking)	0 (0.0)	0	32 (17.9)	(12.6-24.3)	42 (11.7)	(8.8-15.5)	106 (36.0)	(30.6-41.8)	396 (36.9)	(34.1-39.9)	438 (30.6)	(28.3-33.1)

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Table V. Specific dropout rates (DPT-Hi+Hb 1 to DPT-Hi+Hb 3) per age groups.

		Age g	groups	
	12-2.	3 months	12-5	9 months
	Vaccination with evidence	Vaccination with evidence and from tracking	Vaccination with evidence	Vaccination with evidence and from trackin
Number of DPT-Hi+Hb 1 doses administered	78	201	157	693
Number of DPT-Hi+Hb 3 doses administered	67	119	127	399
Dropout rate (%)	14.1	40.8	19.1	42.4

Table VI. General dropout rate (BCG to Measles-rubella) per age group.

		Age groups				
	12-2	3 months	12-5	9 months		
	Vaccination with evidence	Vaccination with evidence and from tracking	Vaccination with evidence	Vaccination with evidence and from tracking		
Number of BCG doses administered	84	244	158	872		
Number of Measles-Rubella doses administered	42	106	106	396		
Dropout rate (%)	50.0	56.6	32.9	54.6		

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per health area. The coverage of households interviewed 36 37 differed per health area but not significantly.

39 Distribution of children per cluster, household, age and sex. 40 In total, 1430 children aged 0-59 months were identified in the reached households. Table II gives the distribution of regis-41 42 tered children per age and sex and Table III presents the mean 43 number of children per cluster, HH and age groups. The most represented age group was 12-59 months (75.0%) and more 44 45 were female children (50.9%).

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Immunization coverage. Table IV presents the documented 47 and tracked immunization coverage of children regarding 48 49 main EPI vaccines. It is noted that for almost all antigens and 50 age group, the estimate of immunization coverage increases 51 when the immunization status of children with no immunization card (tracked) is taken into account. Also, the coverage 52 53 of children documented immunization decreases as their age 54 increase.

Dropout rate of EPI vaccination among children. 56 57 Tables V and VI present specific and general vaccination dropout 58 rates among children aged 12-23 months and 12-59 months. 59 We note that for both age groups, the DPT-Hi+Hb 1 to 60 DPT-Hi+Hb 3 dropout rates estimated from immunization documents were higher when estimated with data collected 96 from both immunization cards and tracking. The trend is 97 similar for BCG-Measles/rubella vaccine as noted in Table VI. 98

Discussion

This study was conducted to assess an innovative method 102 to record children's immunization coverage when no docu- 103 mented proof is available. Results presented in this paper 104 indicate that EPI vaccines coverage in children estimated 105 from a vaccination document was lower than that estimated 106 when the tracking of the immunization status in children with 107 no vaccination document. The vaccination drop-out rate was 108 lower when the children immunization status was assessed 109 only from evidence than when it was assessed from evidence 110 and tracking of immunization status among children with no 111 evidence of immunization. 112

Surveys are described as the best source of information 113 regarding the estimation of immunization coverage in commu- 114 nities (2,9). The reliability of these data depends on the ability 115 of the survey designer on one hand to plan and implement the 116 sampling and coverage of homes, households and targeted 117 children; and on the other hand, the ability to ensure the assess- 118 ment and recording of the eligibility and immunization status of 119 each child. The assessment of the eligibility and immunization 120

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status of the child is relatively easy when the child care giver 1 2 can present a document indicating the child date of birth as well 3 as the doses and dates of vaccines administration to the child. 4 Several surveys conducted to estimate vaccination coverage 5 determined the immunization status of the child based on 6 immunization documents and/or on questionnaire administered 7 to guardians (10-13). Survey methods based on questionnaire 8 administration to caregivers may provide relatively reliable 9 vaccine coverage if the survey is conducted in a relatively 10 short time (sufficiently short to allow caregiver to recall) after vaccination and/or when it follows a single dose of vaccine 11 12 administered. For surveys that are planned to estimate EPI 13 immunization coverage and/or completeness which regularly 14 target children under age 12 months and above, with certain 15 vaccination doses administered a year earlier, it seems less reliable to assess children immunization status from the guardian 16 17 declaration. WHO recommends a number of questions to track the immunization status of the children with no immunization 18 19 card, but to the best of our knowledge, no published study has 20 taken this into account (13). The present survey included a 21 series of standardized questions to determine the vaccination 22 status of one of the planned vaccine doses for five immunization 23 appointments in children whose parents do not hold a vaccina-24 tion document. The results reveal that the vaccination coverage 25 estimated by taking into account the tracking of vaccination status in children without vaccination documents was higher 26 than that estimated on the basis of immunization documents for 27 28 almost all antigens and for each age group targeted. To the best 29 of our knowledge, this double estimate has not yet been made in 30 a previous study. The immunization coverage gap from the two 31 estimates can be explained by the fact that these children were 32 vaccinated but did not receive a document certifying it, or they 33 received a document and lost it, or the document was received 34 but kept by one of the child's previous caregiver or parents may 35 be mistakenly taking injection received by the child as vaccination. We did not collect the data to assess the weight of each of 36 37 these hypotheses, but the latter is unlikely since the data collec-38 tion process involved identifying and excluding these cases 39 (by asking of the injection was done because the child was ill).

40 The estimate of the general and specific dropout rate of EPI vaccines based on data from vaccination documents 41 42 and, taking into account the tracking of vaccination status in 43 children who do not have immunization document shows a higher drop-out rate in the latter case indicating that children 44 45 with documented vaccination are more likely to complete and that the tracking allow to detect more children that need 46 to complete their vaccination. It can also mean that care-47 givers who keep securely keep vaccination cards are more 48 49 likely to ensure that each child complete his vaccination. 50 The observed difference supports the argument that tracking should be used in addition to immunization card when 51 52 assessing children population in need of EPI vaccination 53 completion rate.

Immunization status tracking is limited because it is based on data collected by questionnaire from the caregiver and can be conducted in some cases long after the administration of certain vaccination doses. It may also be limited because immunization status data are collected by assuming that the vaccinator respected the recommended vaccination site but nothing ensures that all of them will respect the recommended sites. Despite these limitations, it is the only alternative currently61available to collect information on the immunization status of62children with no documentation. The reduction of the limita-63tions of this method would require the establishment of a source64of documentation of immunization status in health facilities65accessible during follow-up or immunization coverage survey66activities that may permit to trace children status.67

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Conclusions

Results of the present study indicate that EPI vaccines coverage 71 in children estimated from a vaccination document was lower 72 73 than that estimated taking into account the tracked immunization status in children whit no vaccination document. The 74 results of the present may imply that EPI vaccination coverage 75 is underestimated when it is based only on the documentation 76 of vaccination as well as the number of children needing to 77 complete their vaccination. If the immunization status of chil-78 dren who do not have evidence of vaccination is not tackled, 79 there is a risk that more doses will be given than expected in 80 81 the immunization schedule, leading to unexplained stockouts and higher expected cost. 82

83 We recommend that during surveys and immunization coverage monitoring activities, the immunization status of 84 children be determined from vaccination cards and from 85 tracking among children with no vaccination documents. 86 From this status, immunization coverage with documented 87 status and that taking the tracking into account should be 88 reported. Studies should be conducted to identify and respond 89 to reasons contributing to the unavailability of vaccination 90 91 cards among some vaccinated children and secondly to assess the feasibility of setting up an alternative accessible source of 92 data to the vaccination card to determine the immunization 93 94 status of children during vaccination surveys and monitoring. 95

Contributions

JA, design, coordination of the project, and draft of the 98 manuscript; JA, NMY, APG, data collection monitoring; 99 KHTN, NMY, APG, LA, IF, BK, writing, and revision of the 100 manuscript. All the authors approved the final version to be 101 published. 102

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Ethical approval and consent to participate

113 The protocol has received ethical approval from the Cameroon 114 National Ethics Committee (2018/07/1058/CE/CNERSH/SP) 115 and administrative authorization from the Cameroon Ministry 116 of Public Health (631-19-18). Prior to participation in this 117 study, all heads of households were informed of the survey, 118 and their consent was required before any data was collected 119 in the household. No personal data were collected. 120 Availability of data and material

Not applicable.

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Informed consent

The manuscript does not contain any individual person's data in any form.

Conflict of interest

The authors declare no potential conflict of interest.

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